

Message

From: Michael Salerno [msalerno@nppg.com]
Sent: 8/9/2019 3:32:36 PM
To: Joseph De Perio [/o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=7f8467f793a344ce9df2f976b4e41273-joseph.depe]
Subject: Re: SportBLX

We can discuss at the board meeting.

Cordially,

Michael M. Salerno
Founder & CEO

NPPG Fiduciary Services, LLC

NORTHEAST PROFESSIONAL PLANNING GROUP, Inc.

- *Employee Benefits & Retirement Planning*
- *Actuarial & Retirement Plan Administration*
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On Aug 9, 2019, at 2:22 PM, Joseph De Perio <joseph.deperio@sportblx.com> wrote:

Mike – I wanted to follow-up again on the FINRA interrogatory on our shareholding. We are prepared to disclose beneficial ownership of all investment entities within our shareholding with the exception of Cypress.

We would like your cooperation here to push forward the FINRA compliance to advance our business. If not, we will take structural steps with Sport-BLX, Inc. and our broker-dealer sub to make sure we are compliant.

Joe

Joseph A. De Perio

Sport-BLX, Inc.

510 Madison Avenue, 9th Floor

New York, NY 10022

jad@sportblx.com

(212) 377-4252

From: Joseph De Perio

Sent: Thursday, August 08, 2019 10:03 AM

To: Michael Salerno <msalerno@nppg.com>

Subject: RE: SportBLX

Mike – Please find enclosed two sets of minutes from the last two board meetings.

I also would like to follow-up on the beneficial owners of Cypress. We require this information for ongoing FINRA compliance and to advance our business.

Joe

Joseph A. De Perio

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From: Joseph De Perio
Sent: Monday, August 05, 2019 1:11 PM
To: Michael Salerno <msalerno@nppg.com>
Subject: SportBLX

Mike-

As a matter of normal course with our FINRA review, they have asked us to note who the beneficial owners are of Cypress Holdings, the shareholder of record. Can you please advise so we can complete their interrogatories?

On the two board meetings, we will send you minutes tomorrow.

Thanks, Joe

Joseph A. De Perio

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